

Application

THE UNIVERSITY OF CHICAGO

|                  |   |
|------------------|---|
| Application No.: | 09/990,770  |
| Filed:           | November 21, 2001   |
| Inventor(s):     | Melody Voss and Jeffrey D. Slavin   |
| Title:           | DATABASE MANAGEMENT SYSTEM AND METHOD WHICH MONITORS ACTION RESULTS AND ADJUSTS USER PARAMETERS IN RESPONSE |

[illegible]

|                 |            |
|-----------------|------------|
| Examiner:       | Unknown    |
| Group/Art Unit: | 2171       |
| Atty. Dkt. No:  | 5253-04200 |

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents and Trademarks, Washington, DC 20231, on the date indicated below.

Jeffrey C. Hood

2/21/2002  
Date

**Signature**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b), mailed December 6, 2001 there is enclosed herewith:

- (a) A combined Declaration and Power of Attorney executed on behalf of the inventors ( 2 pgs.);
- (b) An Assignment executed on behalf of the inventors including cover page ( 3 pgs.);
- (c) Fee authorization in the amount of \$1,430.00 to cover the filing fee for large entity (\$740.00); additional claims - 25 @ \$18/ea. (\$450.00); one month late fee (\$110.00); and surcharge for late filing of oath or declaration for large entity (\$130.00);
- (d) Fee authorization in the amount of \$40.00 to cover the filing fee for the assignment;

- (e) Formal drawings (11 sheets Figures 1-14);
- (f) A copy of Notice to File Missing Parts of Application Filing Date Granted;
- (g) A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

Please address all correspondence in connection with this application to:

Jeffrey C. Hood  
Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
Ph: (512) 703-1246

Fee authorizations in the amount of the total filing fee are enclosed. If any extensions of time (under 37 C.F.R. § 1.136) are necessary to prevent the above referenced application(s) from becoming abandoned, Applicant(s) hereby petition for such extensions. If any fees are due, the Commissioner is authorized to charge said fees to Conley, Rose, & Tayon, P.C. Deposit Account No. 50-1505/5253-04200/JCH.

Respectfully submitted,



Jeffrey C. Hood  
Reg. No. 35,198  
ATTORNEY FOR APPLICANT(S)

Conley, Rose & Tayon  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 703-1246  
Date: 2/21/2012



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/990,770         | 11/21/2001          | Melody Vos            | 5253-04200             |

Jeffrey C. Hood  
Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767



CONFIRMATION NO. 1825

## FORMALITIES LETTER



\*OC000000007162639\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 BABRAHA1 00000050 501505 09990770

FILED UNDER 37 CFR 1.53(b)

01 FF:101 740.00 CH  
02 FC:105 130.00 CH  
03 FC:103 450.00 CH

Filing Date Granted


An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$450.  
    ■ \$450 for 25 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1320.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



Initial Patent Examination Division (703) 308-1202

# 1. Introduction

